

04/26/01  
JCS 46 U.S. PTO

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Approved for use through 10/31/2002. OMB 0651-0032  
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04-27-01

PTO/SB/05 (08/00)

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No	062004-1640
First Inventor	Peter H. Rogers et al.
Title	An Apparatus And Method For Implementing Hydro-Acoustic Therapy For The Lungs
Express Mail Label No	EL763768779US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICANT REQUESTS EARLY PUBLICATION UNDER 37 CFR 1.219 (additional fee)

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status

3.  Specification [Total Pages 36]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113) [Total Sheets 4]

5. Oath or Declaration [Total Pages 2]

a.  Newly UnExecuted (original or copy)

b.  Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 completed)

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

6.  Application Data Sheet See 37 CFR 1.76

Assignee  
Name and Address  
(if applicable)

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
 

- a.  Computer Readable Copy (CRF)
- b.  Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  Paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & Documents(s))

10.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Request and Certification under 35 U.S.C  
122(b)(2)(B)(i) Applicant must attach form  
PTO/SB/35 or its equivalent

17.  Other. \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No: / .

Group / Art Unit: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only the entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

**18. CORRESPONDENCE ADDRESS**



Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

24504



Correspondence address below

NAME	J. Scott Culpepper; Reg. No. 41,692 Thomas, Kayden, Horstemeyer & Risley, L.L.P.				
ADDRESS	100 Galleria Parkway Suite 1750				
CITY	Atlanta	STATE	Georgia	ZIP CODE	30339-5948
COUNTRY	U.S.A.	TELEPHONE	770-933-9500	FAX	770-951-0931

Name (Print/Type)	J. Scott Culpepper	Registration No. (Attorney/Agent)	41,692
Signature			Date
		04-26-01	

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

0446 U.S. PRO  
09/04/2001

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 485.00)

Complete If Known	
Application Number	To Be Assigned
Filing Date	April 26, 2001
First Named Inventor	Peter H. Rogers et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket No.	062004-1640

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge to the following Deposit Account.

Deposit Account Number **20-0778**

Deposit Account Name **Thomas, Kayden, Horstemeyer Risley**

Charge all indicated fees and any additional fee required or credit any overpayment

Charge any additional fee required and requested to credit any overpayment  Applicant claims small entity status See 37 CFR 1.27

2.  Payment Enclosed:

Check  Money Order  Credit Card

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	<b>\$355</b>
106	320	206	160	Design filing fee	\$
107	490	207	245	Plant filing fee	\$
108	710	208	355	Reissue filing fee	\$
114	150	214	75	Provisional filing fee	\$
SUBTOTAL (1)				<b>(\$355)</b>	

## 2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	30	-20**=	10 x 9.00 =	90
Independent Claims	4	-3** =	1 x 40.00 =	40
Multiple Dependent			270.00 =	0

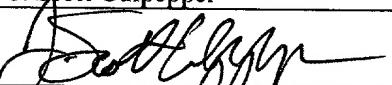
\*\*or number previously paid, if greater. For Reissue, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent Claims in excess of 3
104	270	204	135	Multiple dependent claims in excess of 3
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				<b>(\$130)</b>

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$485.00)**

Complete (if applicable)

SUBMITTED BY	J. Scott Culpepper	Reg Number	41,692
Typed or Printed Name		Date	4-26-01
Signature		Deposit Account User ID	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

**PATENTS**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Peter H. Rogers et al.

For: An Apparatus And Method For Implementing Hydro-Acoustic Therapy For The Lungs

**CERTIFICATE OF EXPRESS MAIL**

Assistant Commissioner for Patents  
BOX: Patent Application  
Washington, D.C. 20231

Sir:

Enclosed for filing in the above case are the following documents:

Return Postcard  
Utility Patent Application Transmittal Page  
Fee Transmittal Page  
Utility Patent Application Consisting Of:  
28 Pages of Specification  
7 Pages of Claims  
1 Pages of Abstract  
4 Pages of Informal Drawings  
Declaration For Patent Application (Unexecuted)  
Verified Statement Claiming Small Entity Status (Unexecuted)

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Respectfully submitted,

**J. Scott Culpepper; Reg. No. 41,692**



**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**  
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Atlanta, Georgia 30339-5948

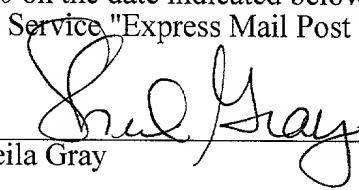
Our Docket No: **062004-1640**

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I hereby certify that all correspondences listed above are being deposited for delivery to the above addressee, with the United States Postal Service "**EXPRESS MAIL POST OFFICE TO ADDRESSEE**" service under 37 CFR §1.10 on the date indicated below:

The envelope has been given U.S. Postal Service "Express Mail Post Office To Addressee" Package # **EL763768779US**.

Date: April 26, 2001



Sheila Gray